

# Brains and AI

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COLLOQUIUM  
PAPER

# The unreasonable effectiveness of deep learning in artificial intelligence

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Deep learning networks have been trained to recognize speech, caption photographs, and translate text between languages at high levels of performance. Although applications of deep learning networks to real-world problems have become ubiquitous, our understanding of why they are so effective is lacking. These empirical results should not be possible according to sample complexity in statistics and nonconvex optimization theory. However, paradoxes in the training and effectiveness of deep learning networks are being investigated and insights are being found in the geometry of high-dimensional spaces. A mathematical theory of deep learning would illuminate how they function, allow us to assess the strengths and weaknesses of different network architectures, and lead to major improvements. Deep learning has provided natural ways for humans to communicate with digital devices and is foundational for building artificial general intelligence. Deep learning was inspired by the architecture of the cerebral cortex and insights into autonomy and general intelligence may be found in other brain regions that are essential for planning and survival, but major breakthroughs will be needed to achieve these goals.

deep learning | artificial intelligence | neural networks

In 1884, Edwin Abbott wrote *Flatland: A Romance of Many Dimensions* (1) (Fig. 1). This book was written as a satire on Victorian society, but it has endured because of its exploration of

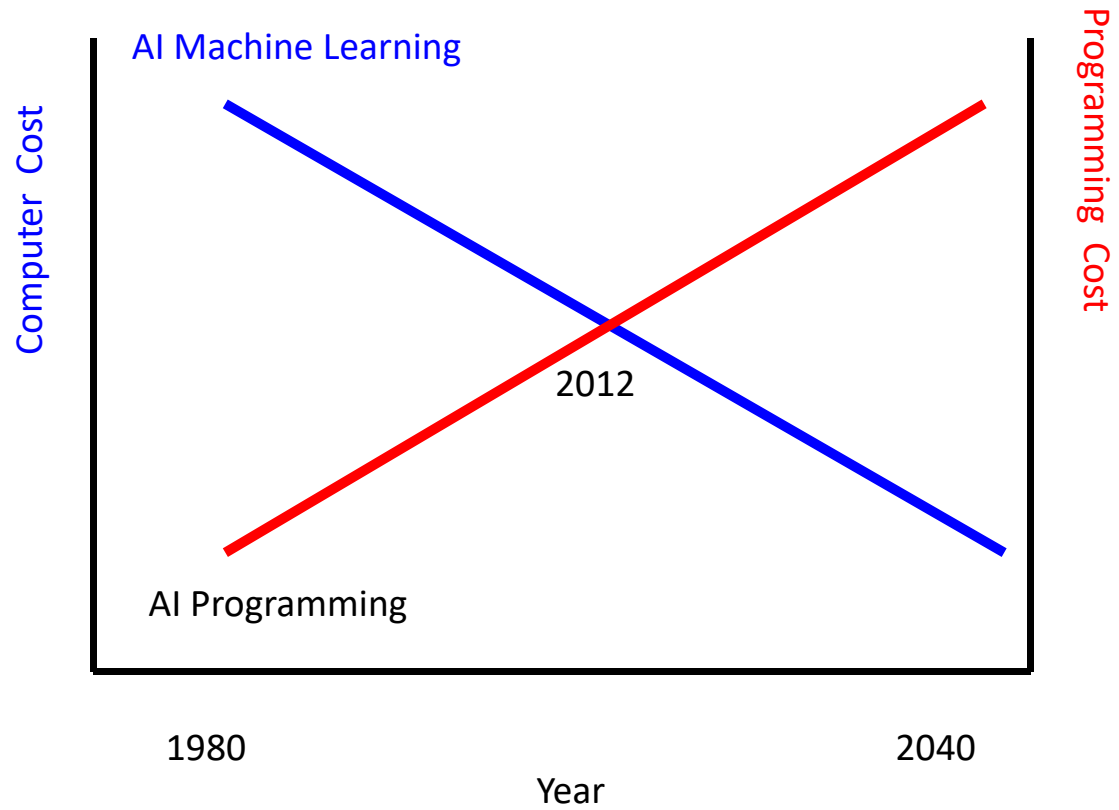
NeurIPS conferences, I oversaw the remarkable evolution of a community that created modern machine learning. This conference has grown steadily and in 2019 attracted over 14,000 participants. Many intractable problems eventually became tractable, and today machine learning serves as a foundation for contemporary artificial intelligence (AI).

The early goals of machine learning were more modest than those of AI. Rather than aiming directly at general intelligence, machine learning started by attacking practical problems in perception, language, motor control, prediction, and inference using learning from data as the primary tool. In contrast, early attempts in AI were characterized by low-dimensional algorithms that were handcrafted. However, this approach only worked for well-controlled environments. For example, in blocks world all objects were rectangular solids, identically painted and in an environment with fixed lighting. These algorithms did not scale up to vision in the real world, where objects have complex shapes, a wide range of reflectances, and lighting conditions are uncontrolled. The real world is high-dimensional and there may not be any low-dimensional model that can be fit to it (2). Similar problems were encountered with early models of natural languages based on symbols and syntax, which ignored the complexities of semantics (3). Practical natural language applications became possible once the complexity of deep learning language models approached the complexity of the real world. Models of natural language with

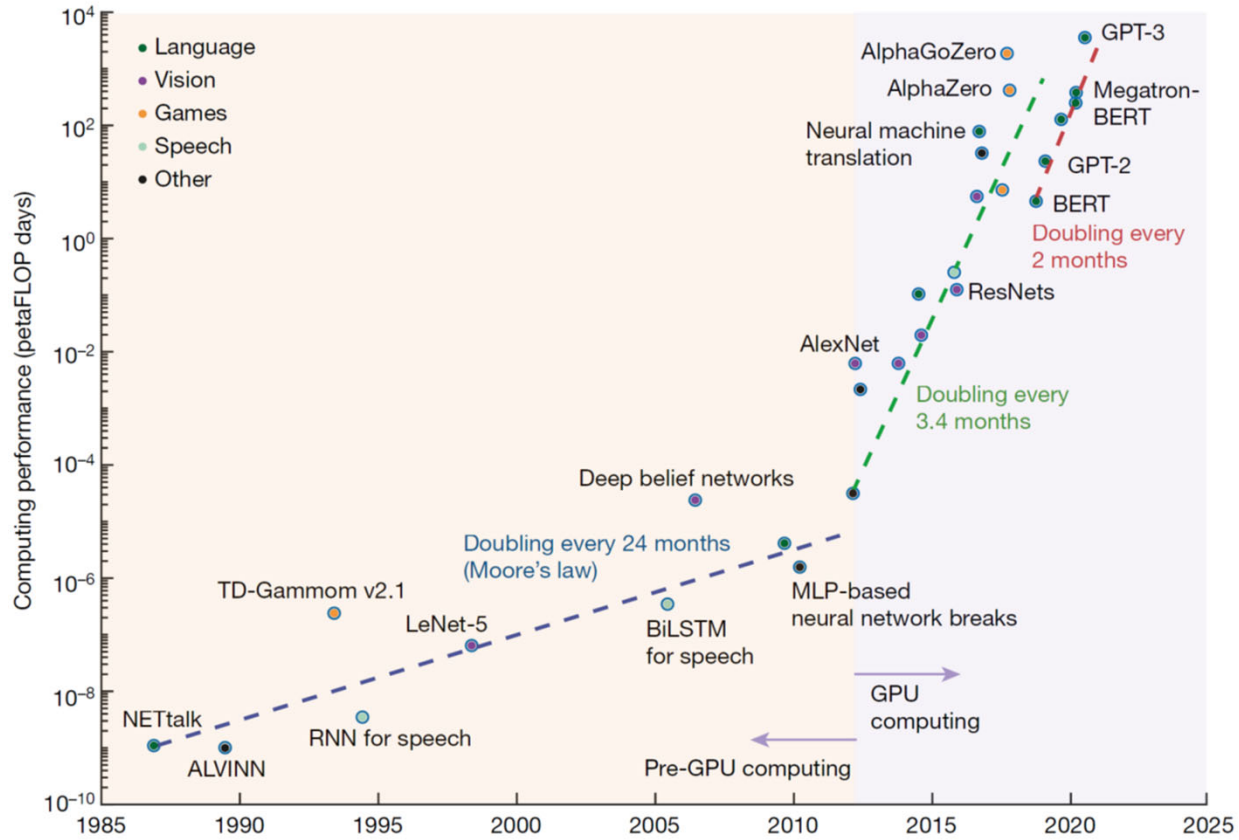
NEUROSCIENCE

COMPUTER SCIENCES

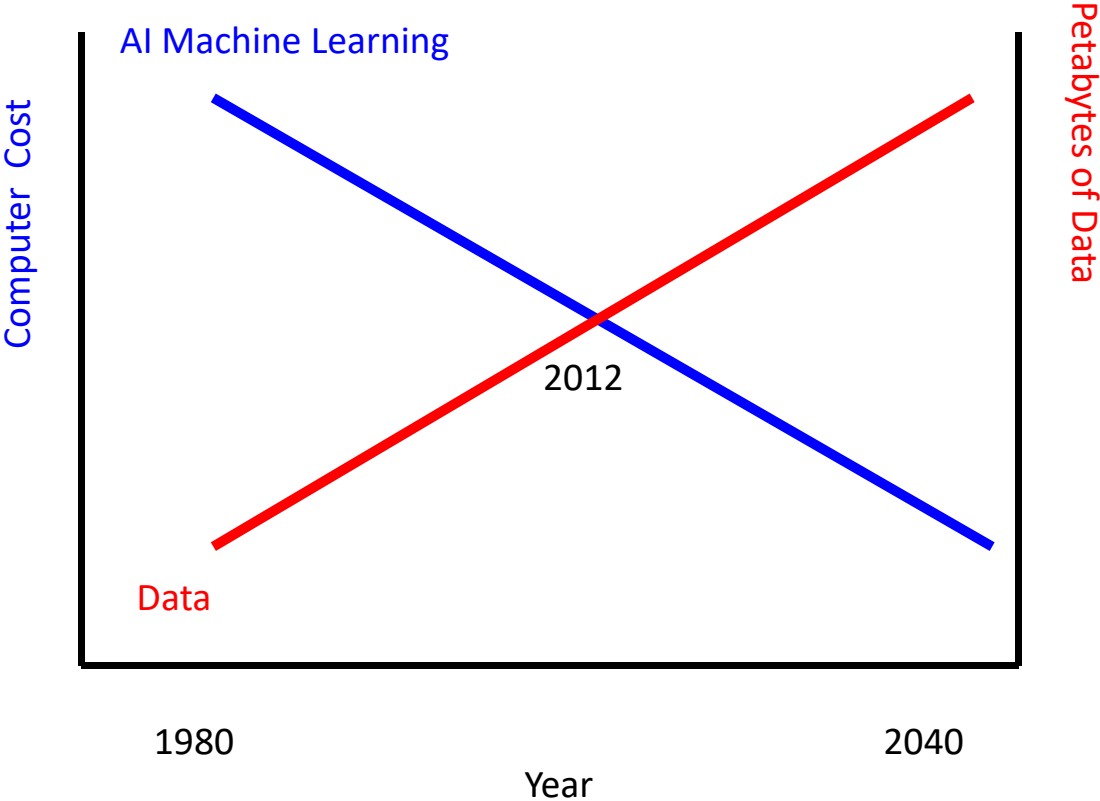
# Tradeoff Between Learning and Programming



# The Rise of the GPU

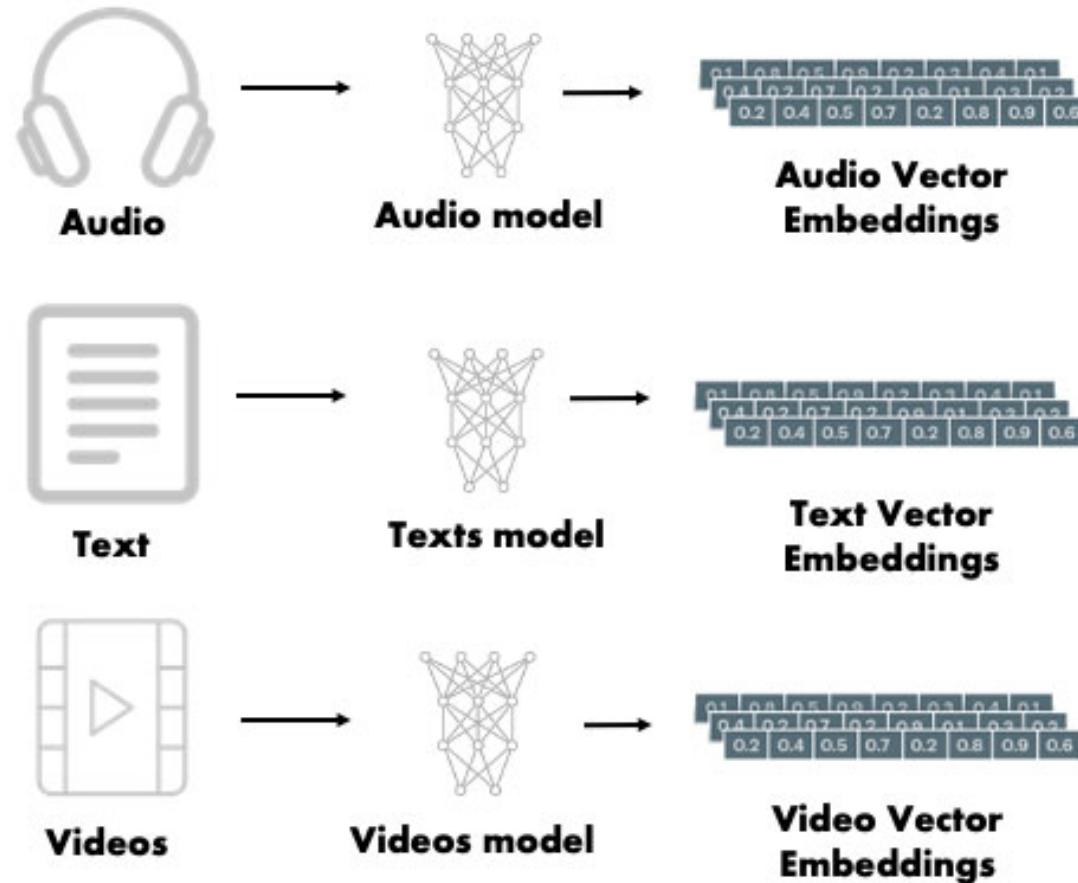


# Data Are All You Need

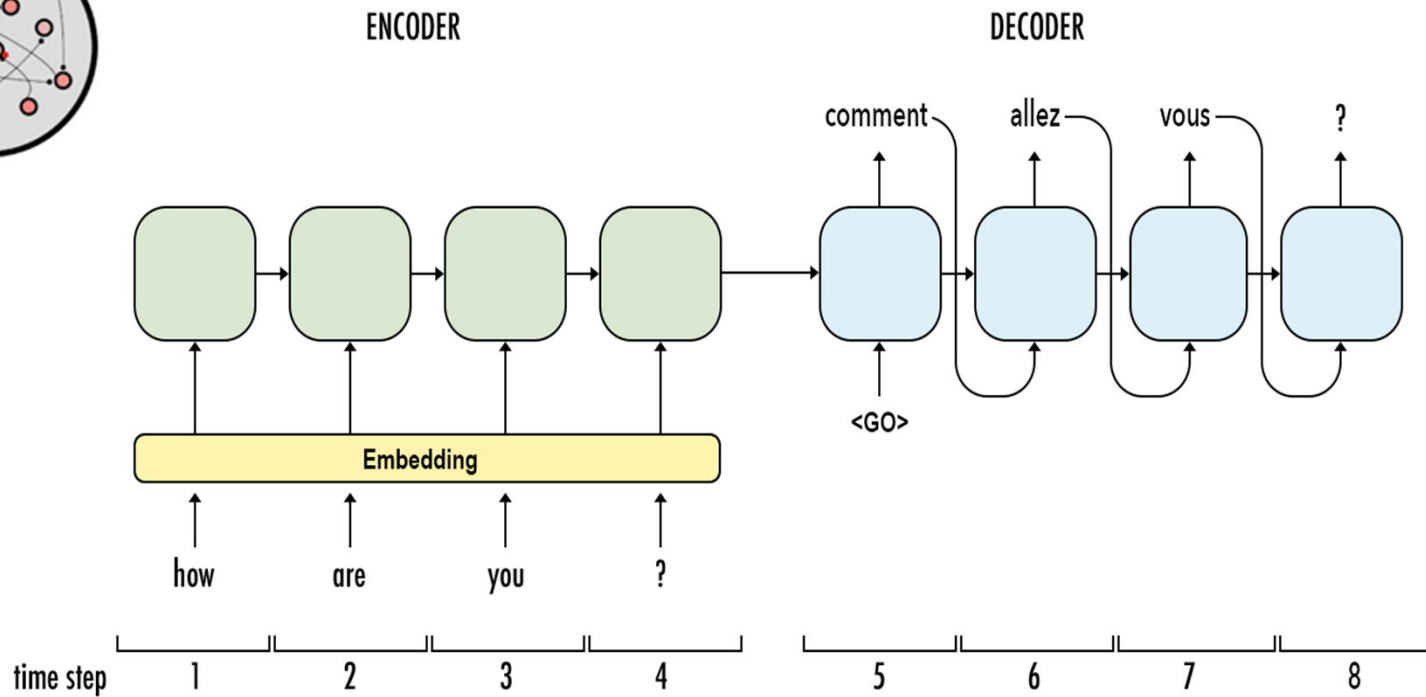
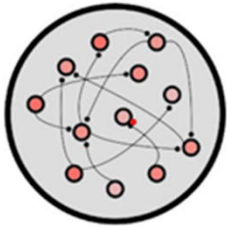




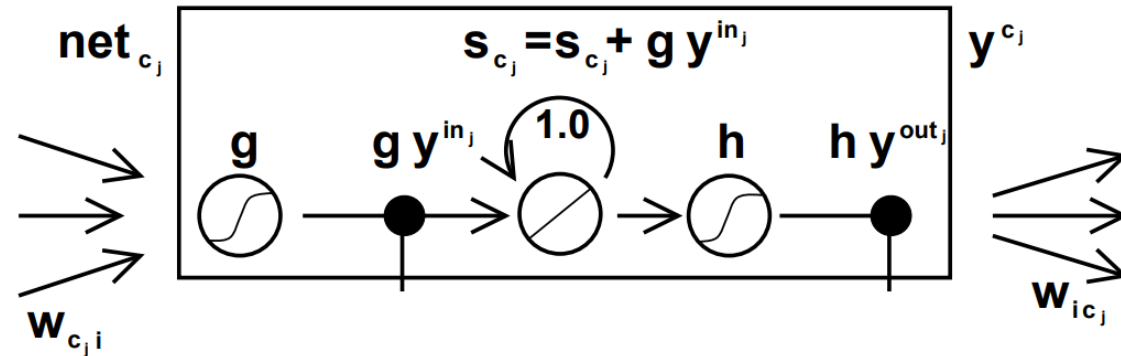
# Long-Range Temporal Context



# Language Translation by Recurrent Neural Networks



# LSTM



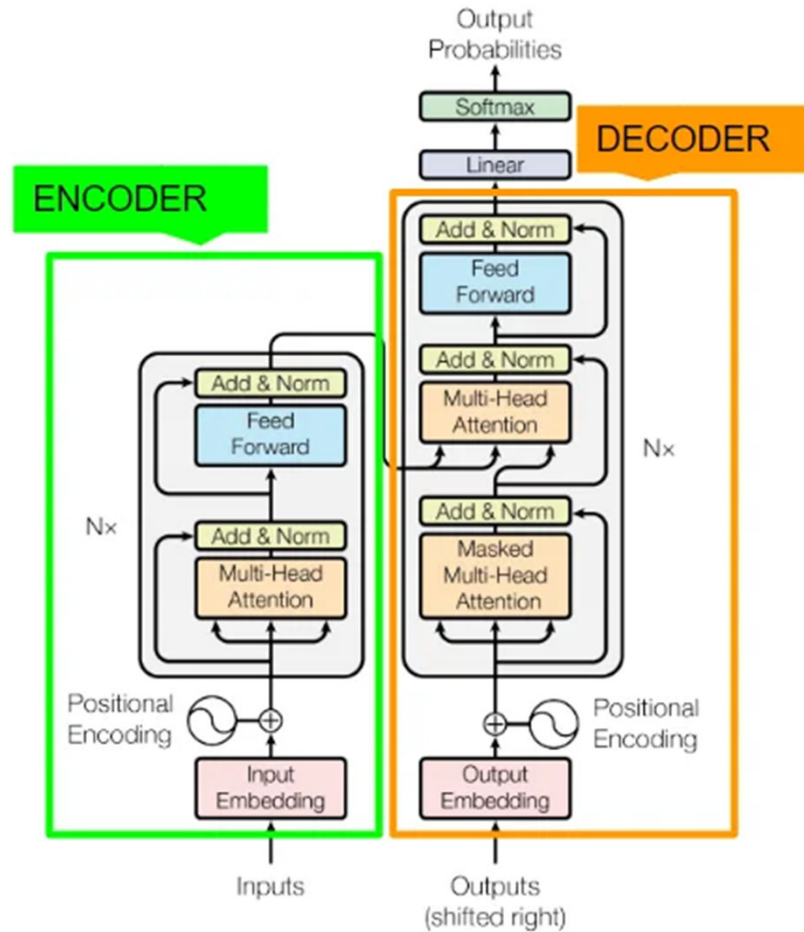
- Error propagated back will elicit conflicting weight update signals:
- 1) Accessing the information stored in a memory cell (+)
  - 2) Protecting downstream units from being perturbed by the information stored (-)

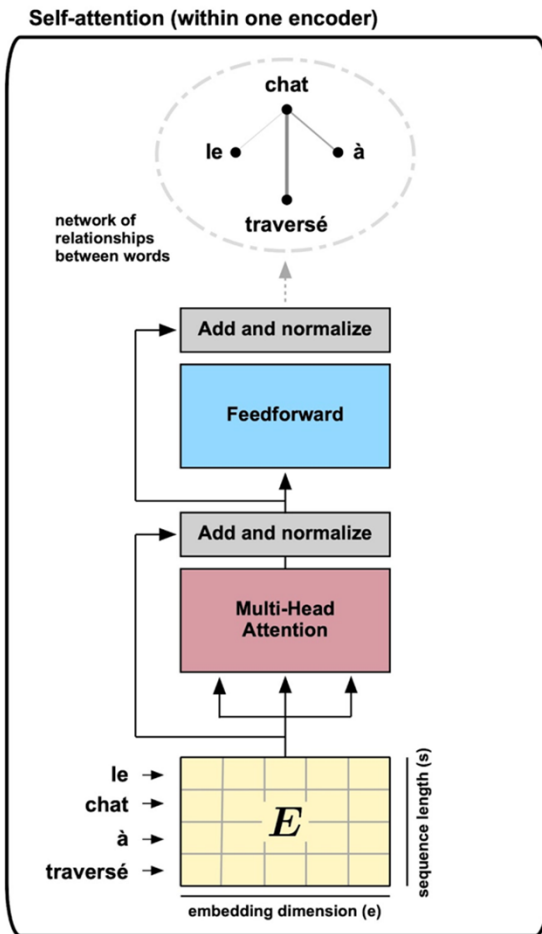
Introducing gates offers more flexibility on controlling connection weights updated by error flows.

Hochreiter, S., & Schmidhuber, J. (1997). Long short-term memory. *Neural Computation*



# Transformer Dynamics

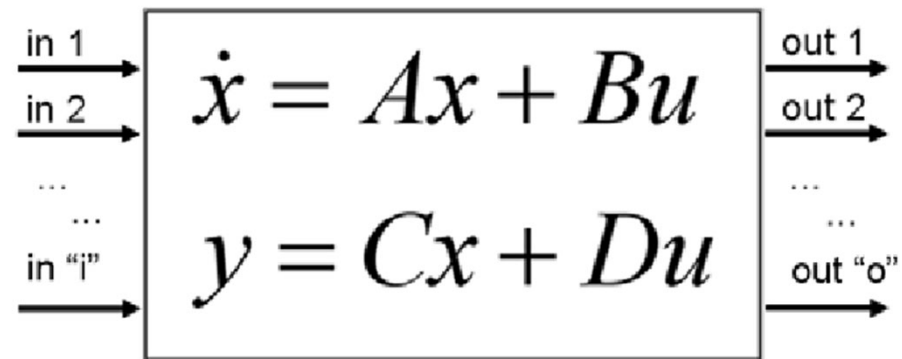




Self-Attention is added  
To the feedforward input

Self-Attention is a matrix

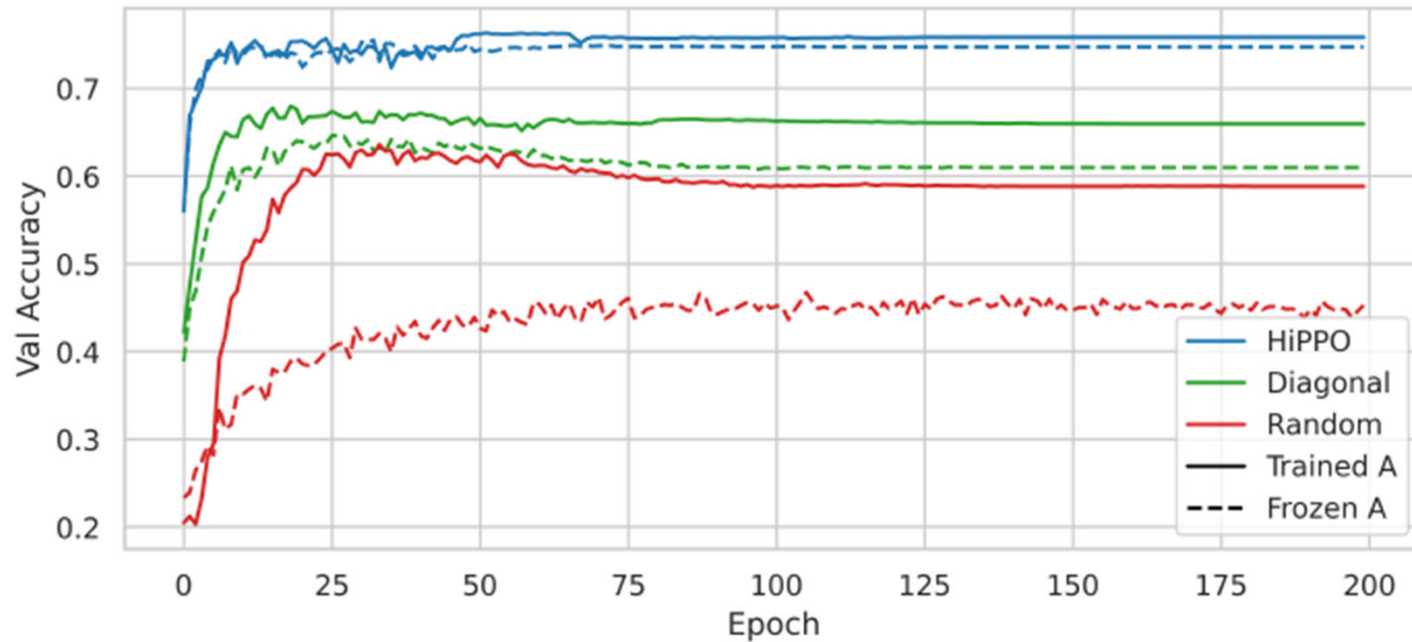
## Linear State Space Model



# Efficiently Modeling Long Sequences with Structured State Spaces

Albert Gu, Karan Goel, and Christopher Re

CIFAR-10



# Toeplitz Matrix

Any  $n \times n$  matrix  $A$  of the form

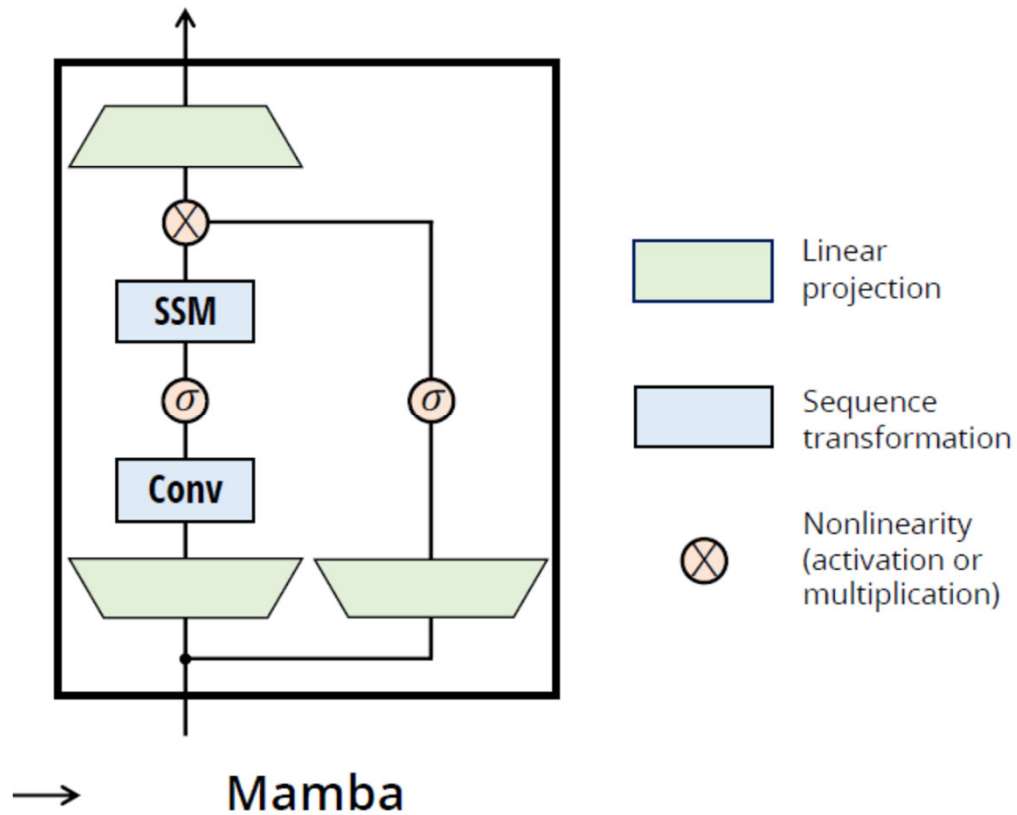
$$A = \begin{bmatrix} a_0 & a_{-1} & a_{-2} & \cdots & \cdots & a_{-(n-1)} \\ a_1 & a_0 & a_{-1} & \ddots & & \vdots \\ a_2 & a_1 & \ddots & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & \ddots & a_{-1} & a_{-2} \\ \vdots & & \ddots & a_1 & a_0 & a_{-1} \\ a_{n-1} & \cdots & \cdots & a_2 & a_1 & a_0 \end{bmatrix}$$

is a **Toeplitz matrix**. If the  $i, j$  element of  $A$  is denoted  $A_{i,j}$  then we have

$$A_{i,j} = A_{i+1,j+1} = a_{i-j}.$$

# Mamba: Linear-Time Sequence Modeling with Selective State Spaces

Albert Gu and Tri Dao





# Mamba: Linear-Time Sequence Modeling with Selective State Spaces

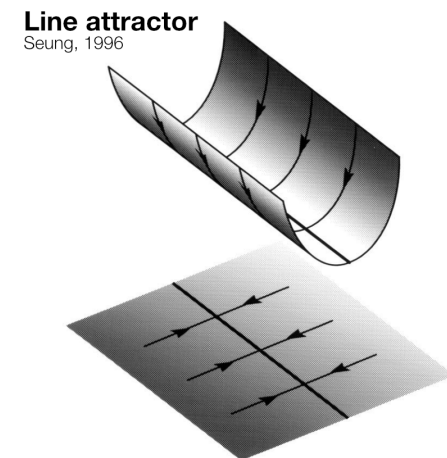
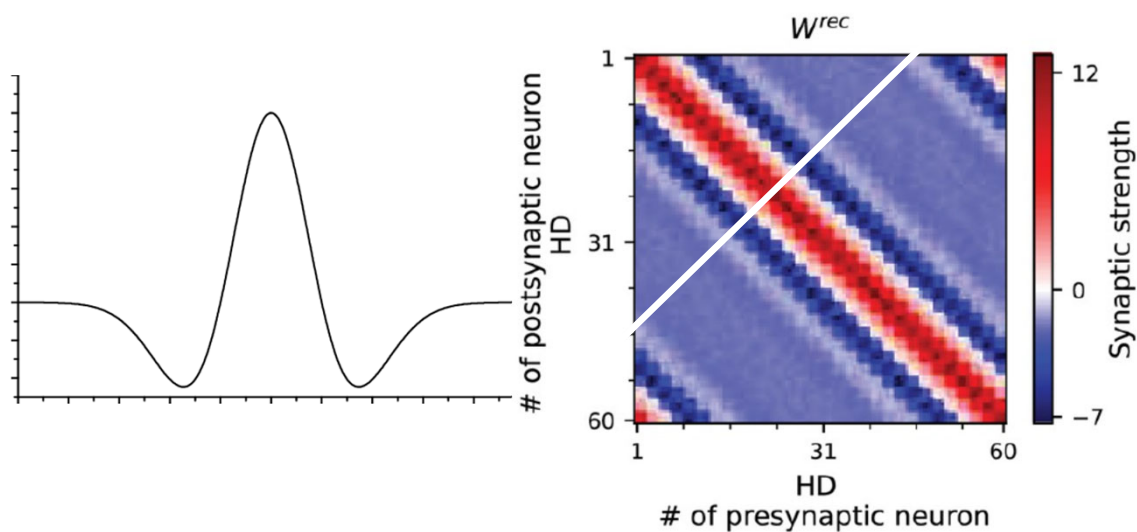
Albert Gu and Tri Dao, 2023

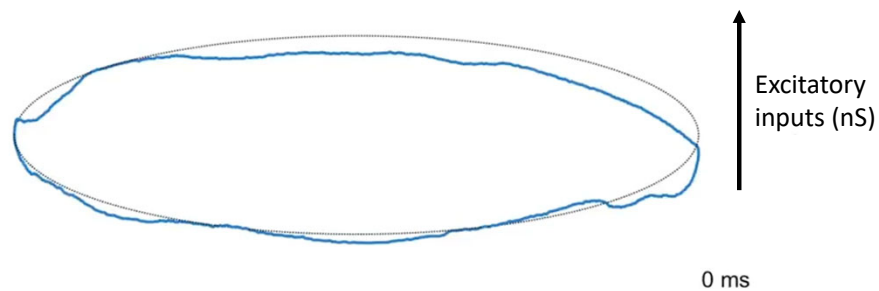
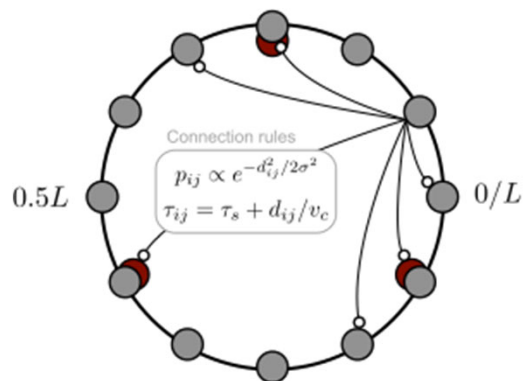
ppl = perplexity 
$$PP(p) := 2^{H(p)} = 2^{-\sum_x p(x) \log_2 p(x)} = \prod_x p(x)^{-p(x)}$$

Model	Token.	Pile ppl ↓	LAMBADA ppl ↓	LAMBADA acc ↑	HellaSwag acc ↑	PIQA acc ↑	Arc-E acc ↑	Arc-C acc ↑	WinoGrande acc ↑	Average acc ↑
Hybrid H3-130M	GPT2	—	89.48	25.77	31.7	64.2	44.4	24.2	50.6	40.1
Pythia-160M	NeoX	29.64	38.10	33.0	30.2	61.4	43.2	24.1	<b>51.9</b>	40.6
<b>Mamba-130M</b>	NeoX	<b>10.56</b>	<b>16.07</b>	<b>44.3</b>	<b>35.3</b>	<b>64.5</b>	<b>48.0</b>	<b>24.3</b>	<b>51.9</b>	<b>44.7</b>
Hybrid H3-360M	GPT2	—	12.58	48.0	41.5	68.1	51.4	24.7	54.1	48.0
Pythia-410M	NeoX	9.95	10.84	51.4	40.6	66.9	52.1	24.6	53.8	48.2
<b>Mamba-370M</b>	NeoX	<b>8.28</b>	<b>8.14</b>	<b>55.6</b>	<b>46.5</b>	<b>69.5</b>	<b>55.1</b>	<b>28.0</b>	<b>55.3</b>	<b>50.0</b>
Pythia-1B	NeoX	7.82	7.92	56.1	47.2	70.7	57.0	27.1	53.5	51.9
<b>Mamba-790M</b>	NeoX	<b>7.33</b>	<b>6.02</b>	<b>62.7</b>	<b>55.1</b>	<b>72.1</b>	<b>61.2</b>	<b>29.5</b>	<b>56.1</b>	<b>57.1</b>
GPT-Neo 1.3B	GPT2	—	7.50	57.2	48.9	71.1	56.2	25.9	54.9	52.4
Hybrid H3-1.3B	GPT2	—	11.25	49.6	52.6	71.3	59.2	28.1	56.9	53.0
OPT-1.3B	OPT	—	6.64	58.0	53.7	72.4	56.7	29.6	59.5	55.0
Pythia-1.4B	NeoX	7.51	6.08	61.7	52.1	71.0	60.5	28.5	57.2	55.2
RWKV-1.5B	NeoX	7.70	7.04	56.4	52.5	72.4	60.5	29.4	54.6	54.3
<b>Mamba-1.4B</b>	NeoX	<b>6.80</b>	<b>5.04</b>	<b>64.9</b>	<b>59.1</b>	<b>74.2</b>	<b>65.5</b>	<b>32.8</b>	<b>61.5</b>	<b>59.7</b>
GPT-Neo 2.7B	GPT2	—	5.63	62.2	55.8	72.1	61.1	30.2	57.6	56.5
Hybrid H3-2.7B	GPT2	—	7.92	55.7	59.7	73.3	65.6	32.3	61.4	58.0
OPT-2.7B	OPT	—	5.12	63.6	60.6	74.8	60.8	31.3	61.0	58.7
Pythia-2.8B	NeoX	6.73	5.04	64.7	59.3	74.0	64.1	32.9	59.7	59.1
RWKV-3B	NeoX	7.00	5.24	63.9	59.6	73.7	67.8	33.1	59.6	59.6
<b>Mamba-2.8B</b>	NeoX	<b>6.22</b>	<b>4.23</b>	<b>69.2</b>	<b>66.1</b>	<b>75.2</b>	<b>69.7</b>	<b>36.3</b>	<b>63.5</b>	<b>63.3</b>

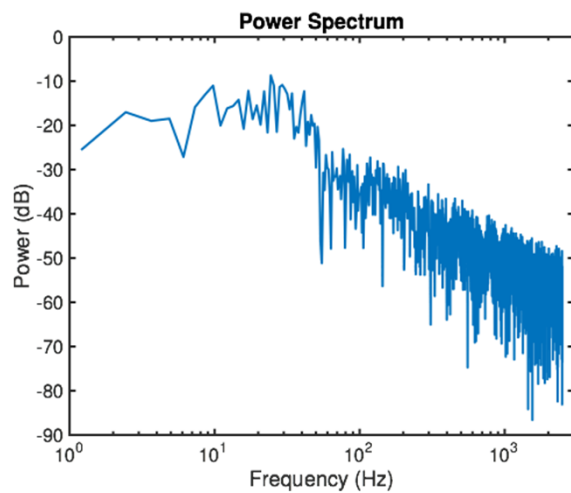
# Ring Model for Temporal Convolution

Line Attractor  $(f * g)(t) := \int_{-\infty}^{\infty} f(\tau)g(t - \tau) d\tau.$

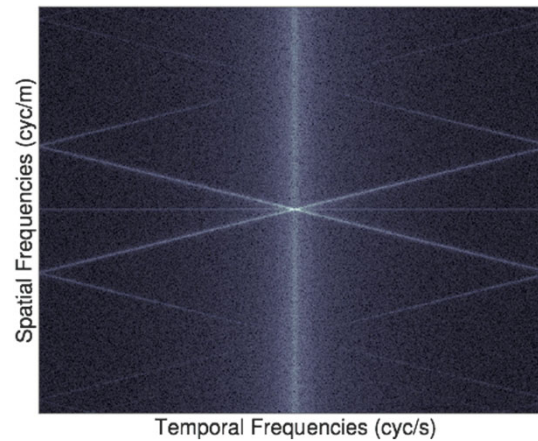




Muller\*, Fletteman\*, Desbordes, Sejnowski

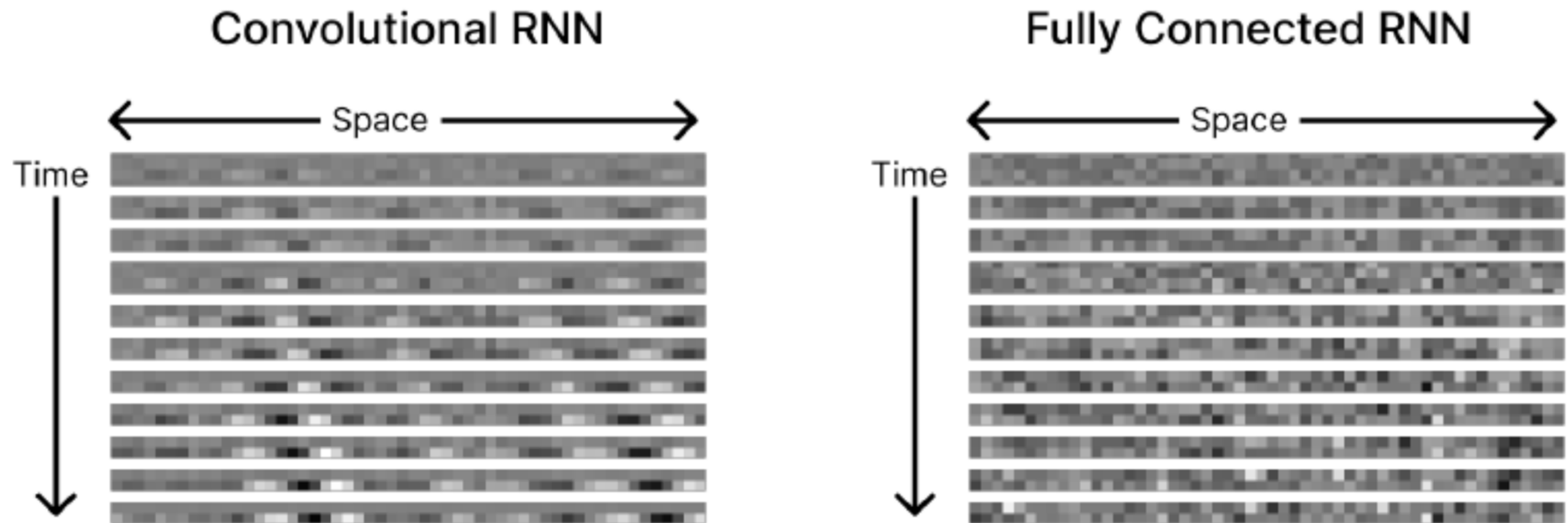


The spectrum at a single point appears similar to noise



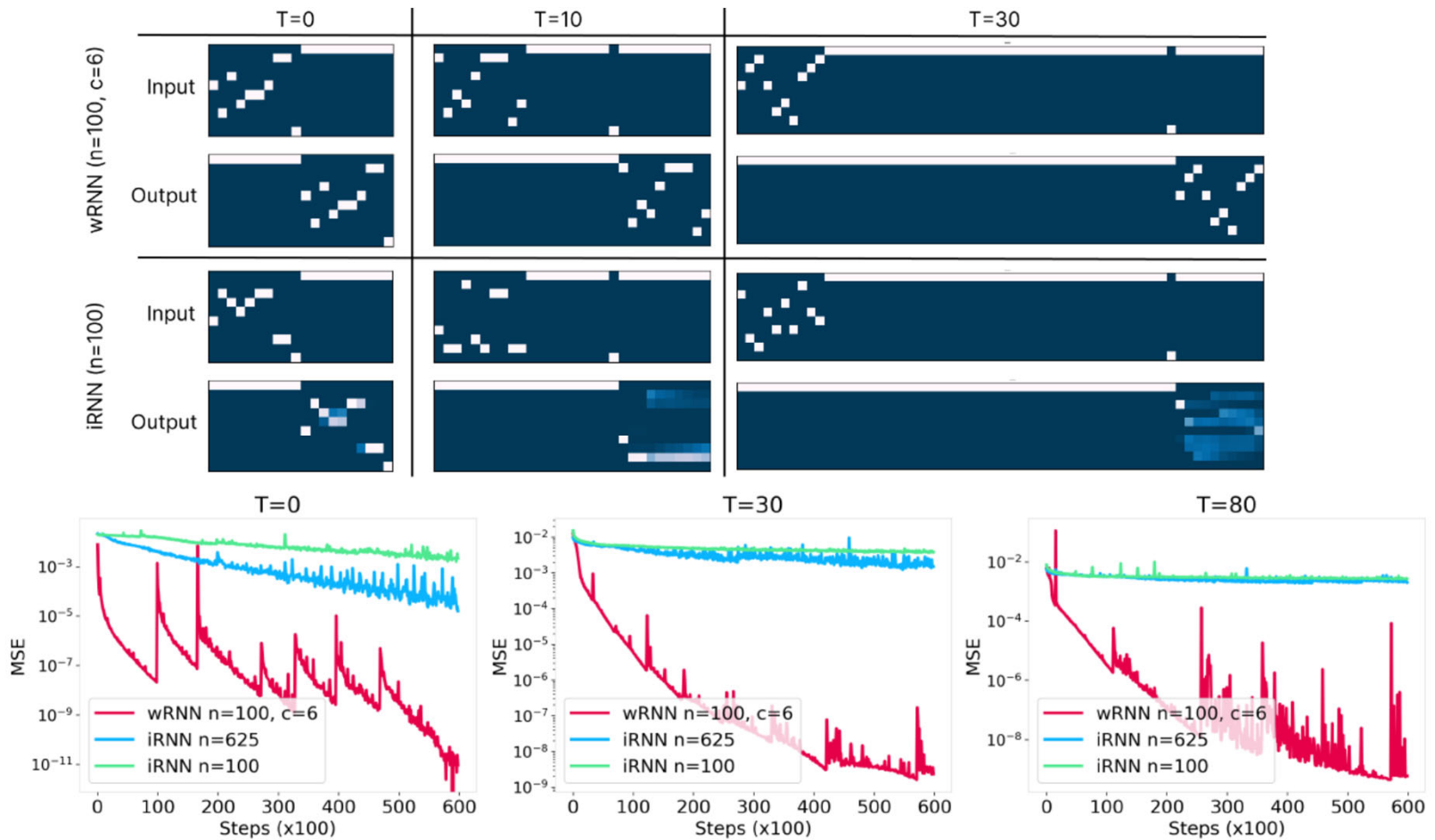
While the space-time Fourier transform (2D FFT) reveals a strong spatiotemporal invariant

# Traveling Waves in Partially-Connected RNNs



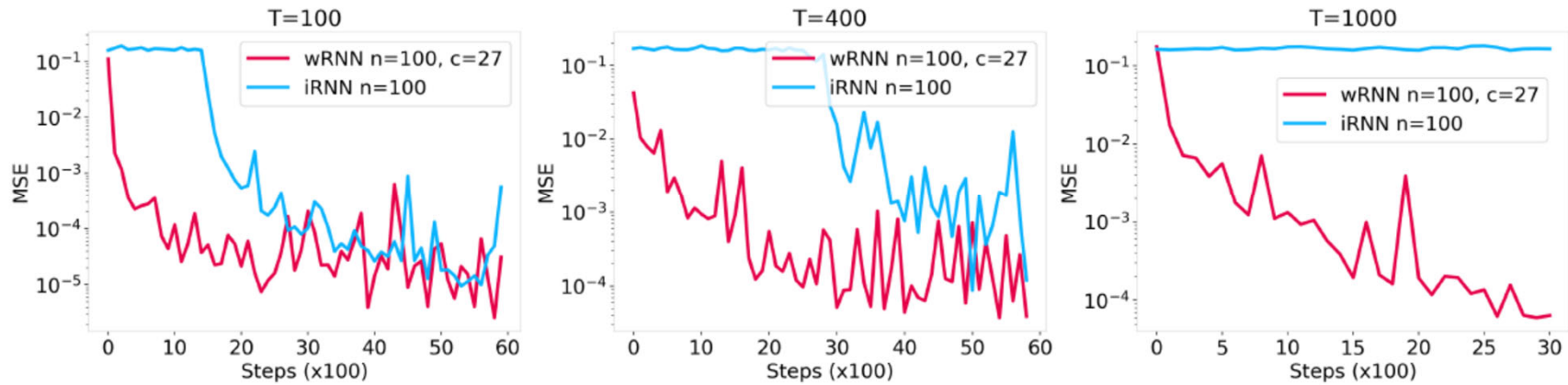
Keller, Sejnowski and Welling, arXiv

# Copy Task Learning is 100x Faster



Keller, Sejnowski and Welling, arXiv

# Addition Task Learning is More Robust

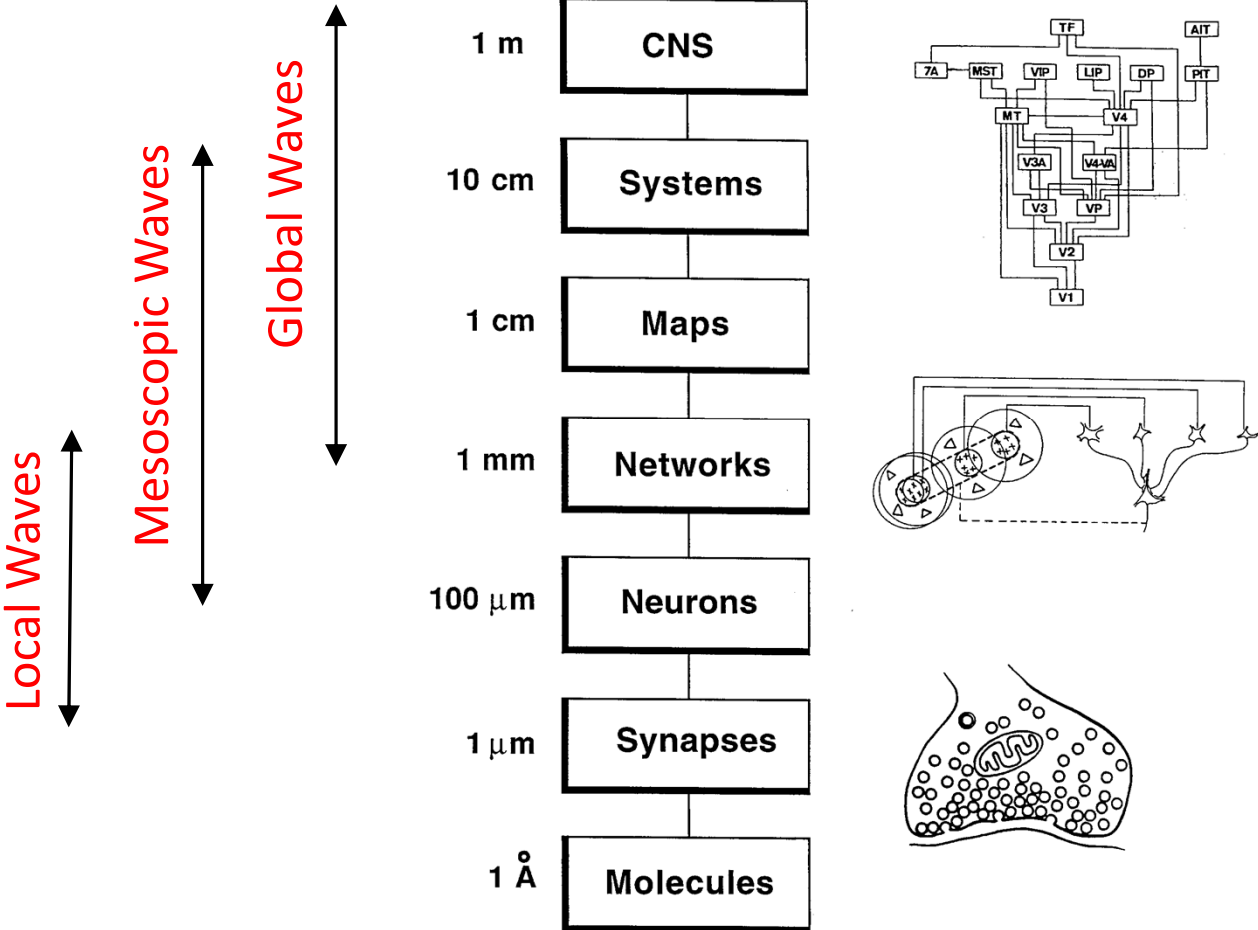


	Seq. Length (T)	100	200	400	700	1000
iRNN	Test MSE	$1 \times 10^{-5}$	$4 \times 10^{-5}$	$1 \times 10^{-4}$	0.16	0.16
	Solved Iter	14k	22k	30k	×	×
wRNN	Test MSE	$4 \times 10^{-6}$	$2 \times 10^{-5}$	$4 \times 10^{-5}$	$4 \times 10^{-5}$	$6 \times 10^{-5}$
	Solved Iter.	<b>300</b>	<b>1k</b>	<b>1k</b>	<b>3k</b>	<b>2k</b>

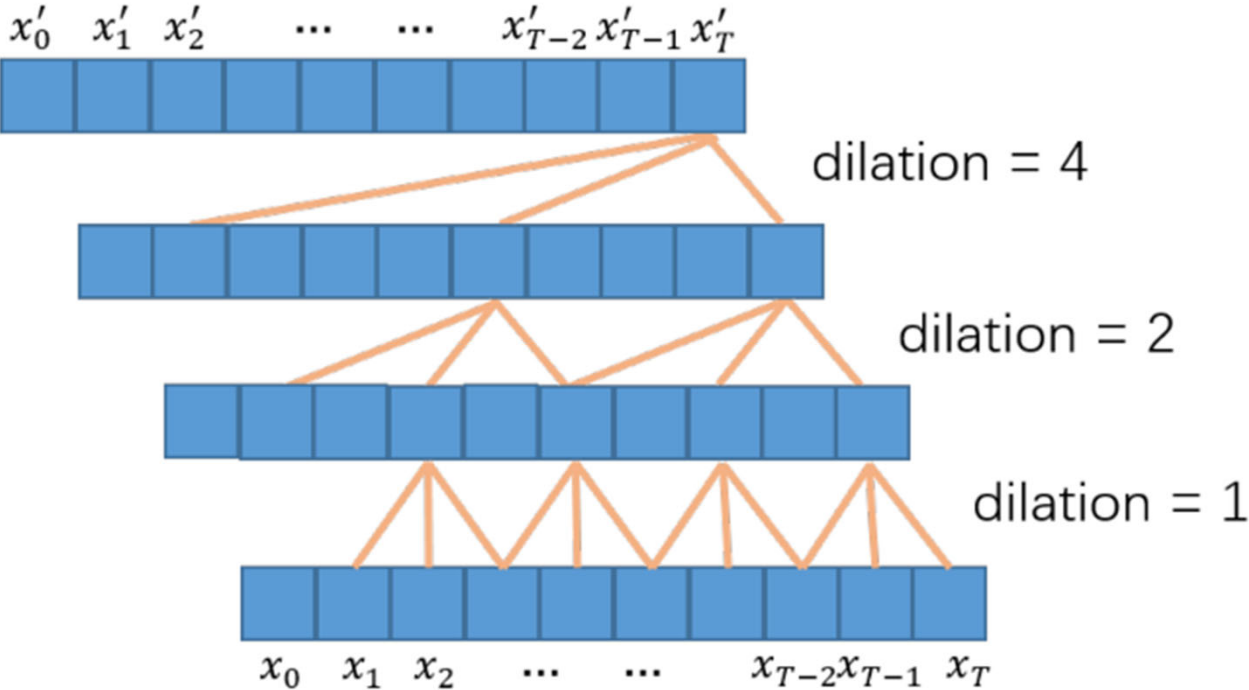
Keller, Sejnowski and Welling, arXiv



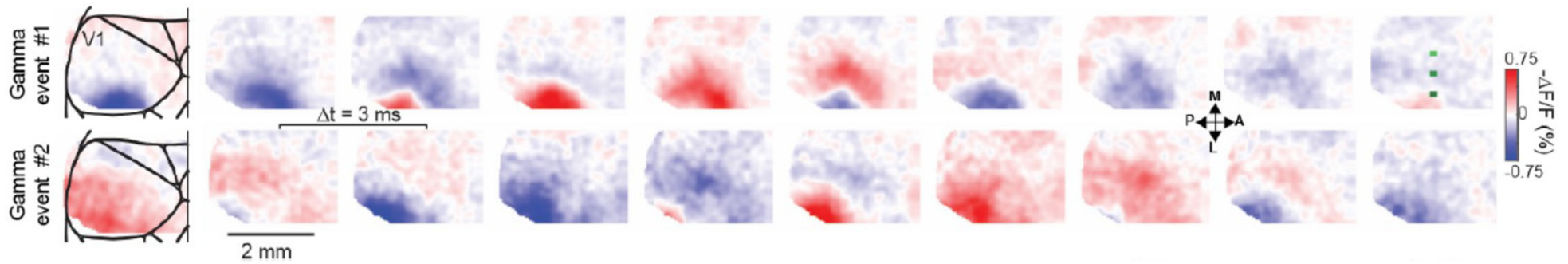
# Levels of Investigation



# Hierarchy of Temporal Convolutions

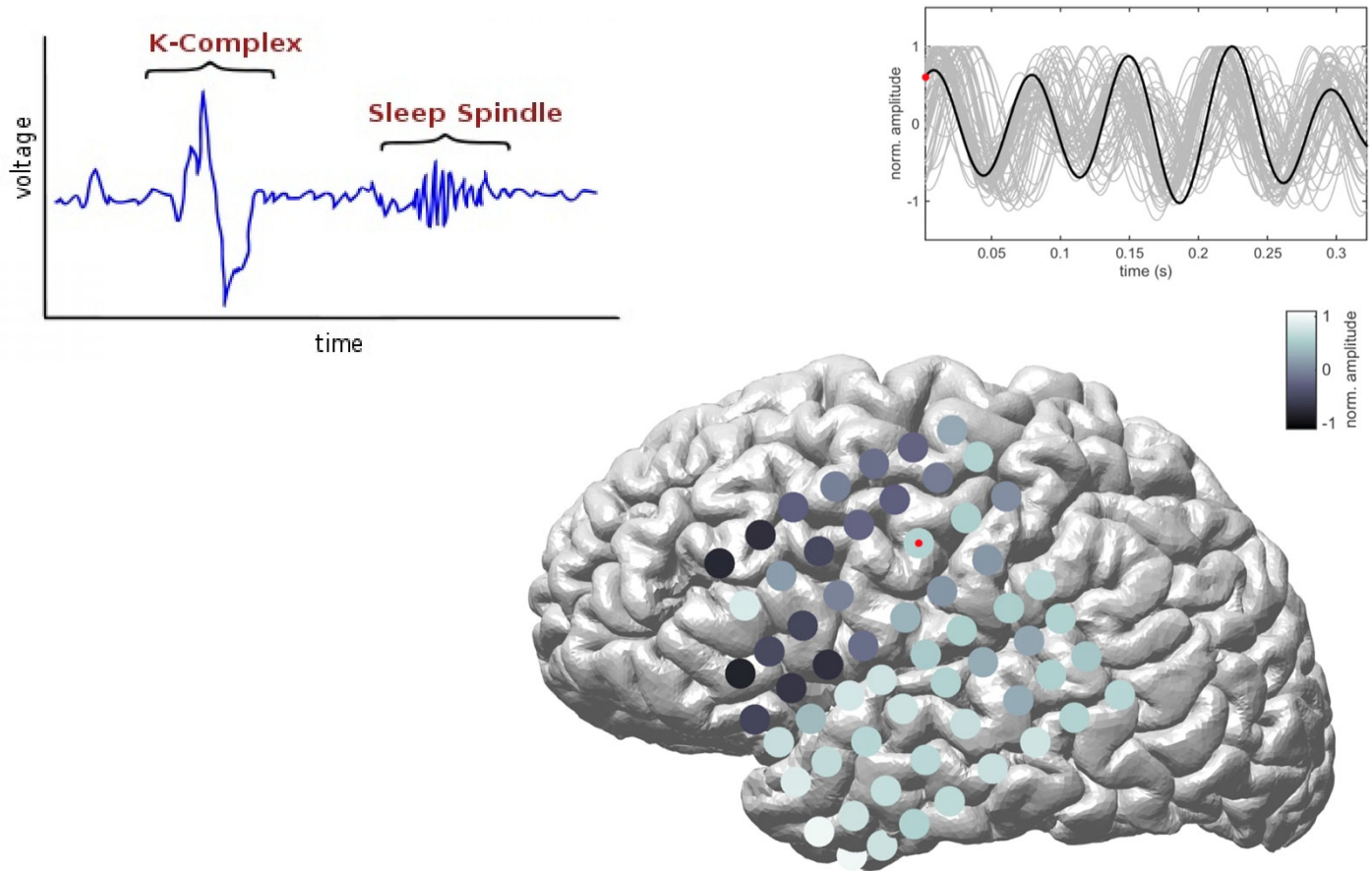


# Delta-coupled single-event gamma waves



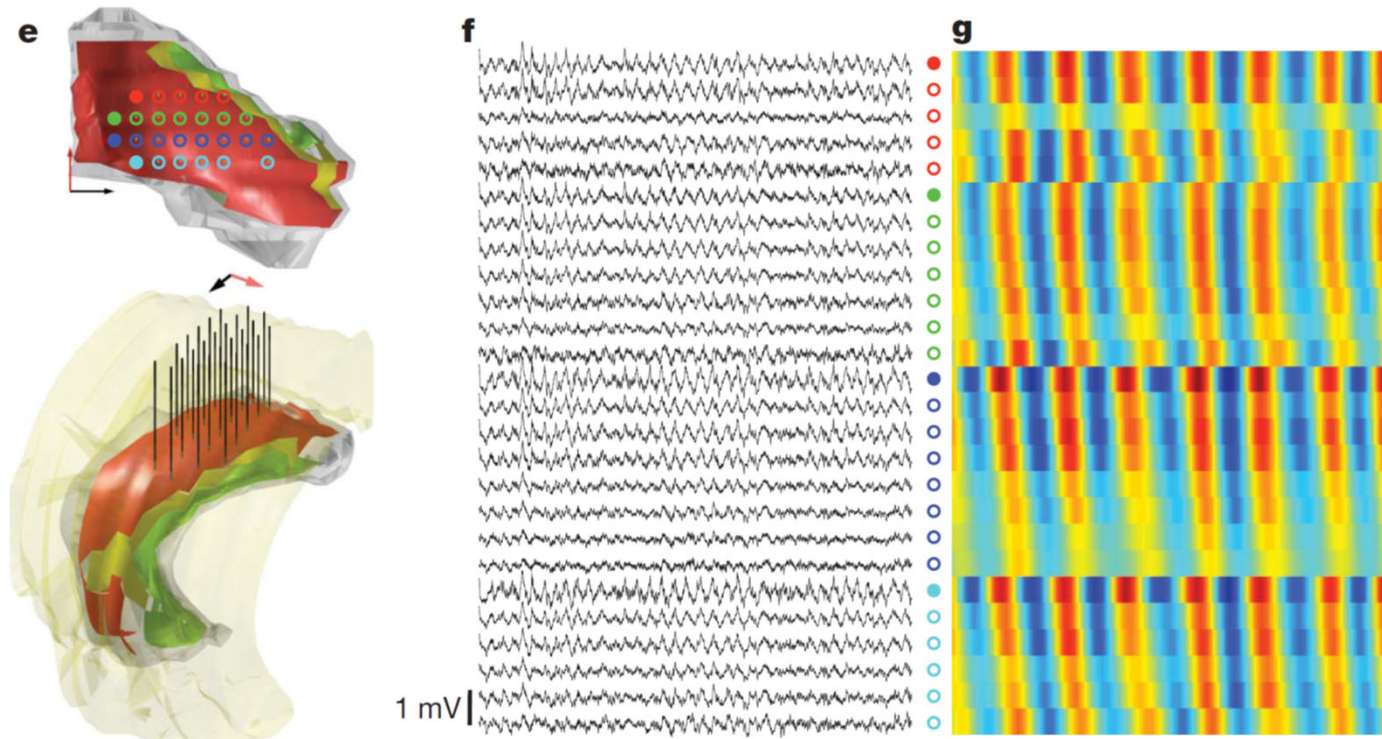
Mark Schnitzer

# Sleep Spindles Are Circular Traveling Waves in Cortex



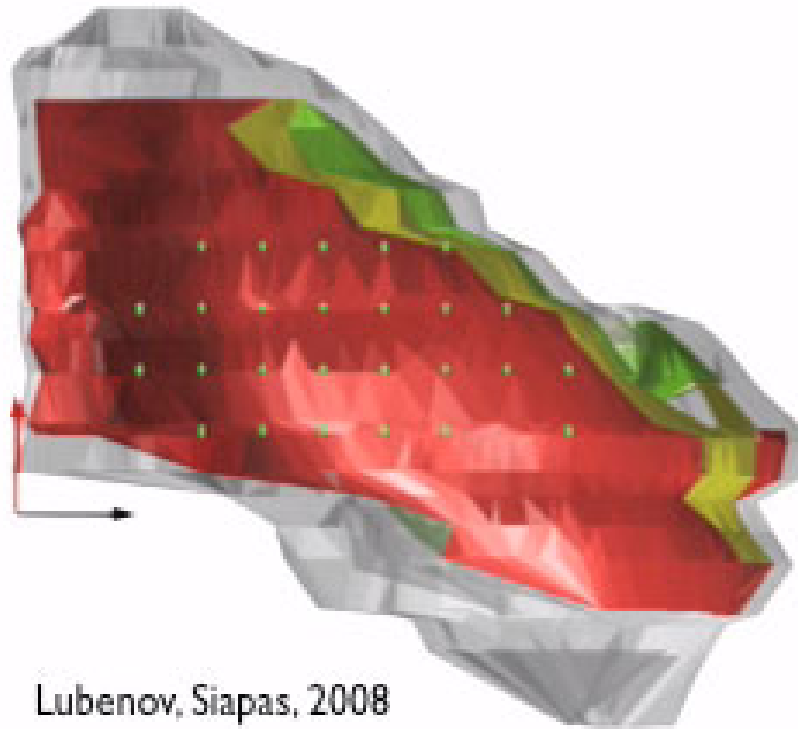
Muller and Sejnowski, *eLife*, 2016

# Traveling Waves in the Hippocampus



Lubenov and Siapas(2008)

# Traveling Waves in the Hippocampus

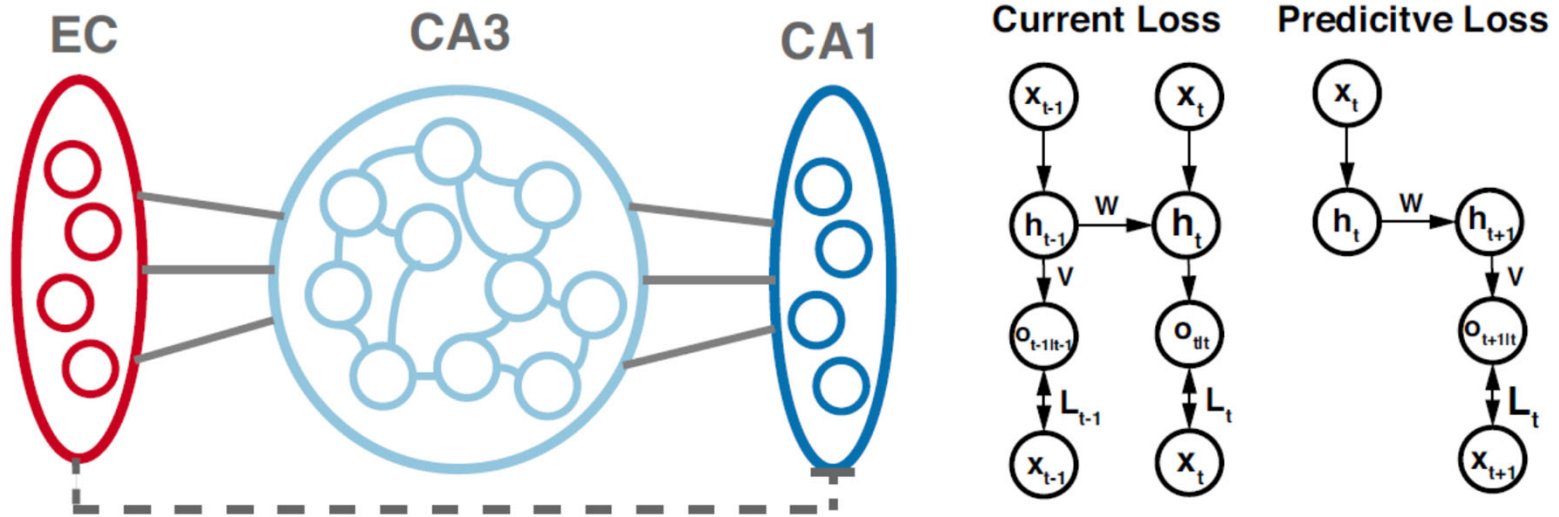


Lubenov, Siapas, 2008

Lubenov and Siapas(2008)

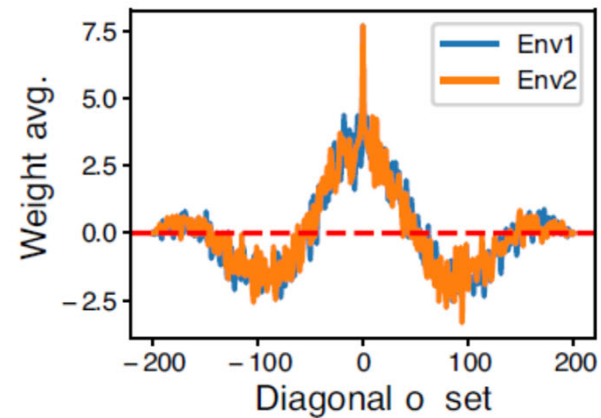
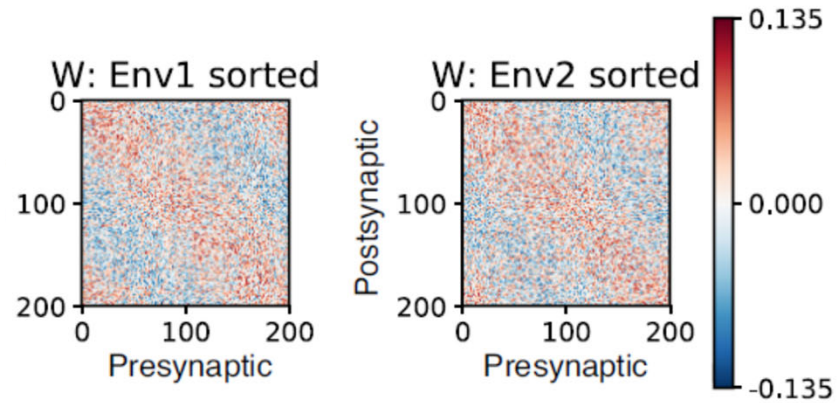


# Predictive Autoencoder

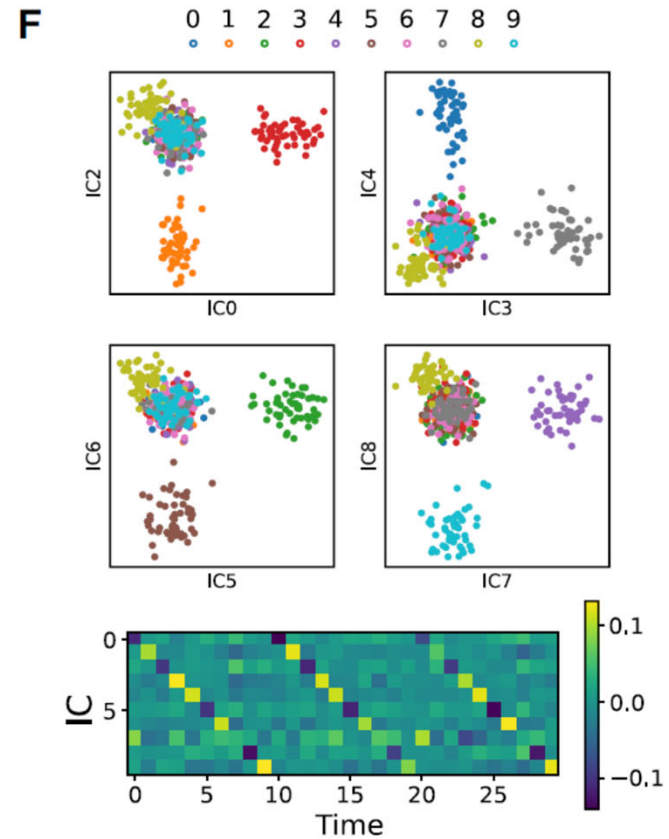
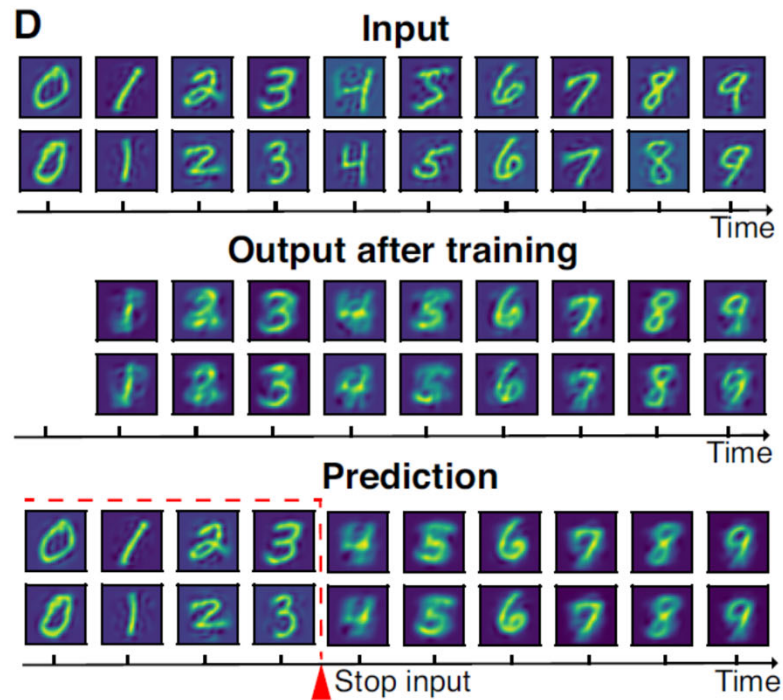


Predictive Sequence Learning in the Hippocampal Formation  
Chen, Zhang, Cameron, and Sejnowski, bioRxiv, Neuron, in press

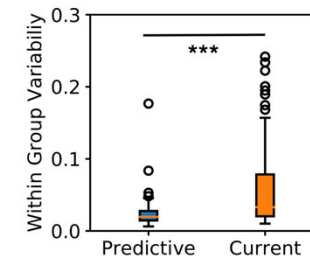
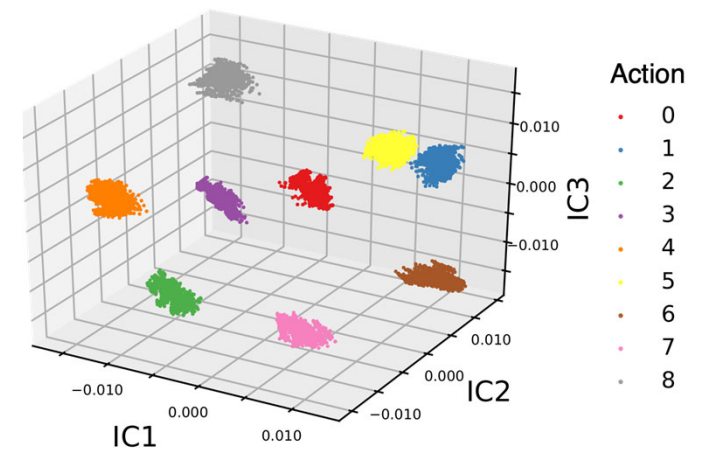
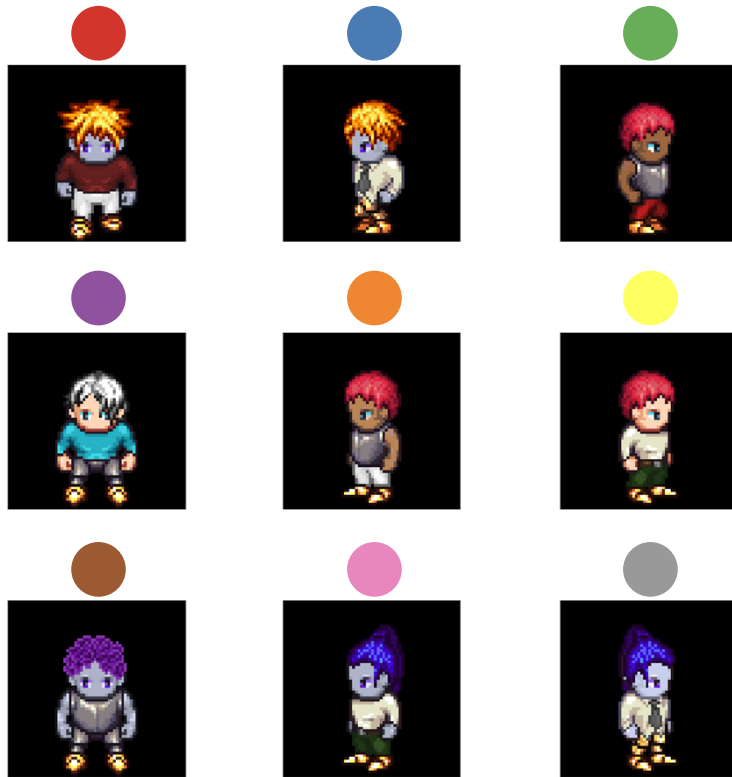
# Predicting Ahead in the Hippocampus



# Interpreting the Code in the Hidden Units

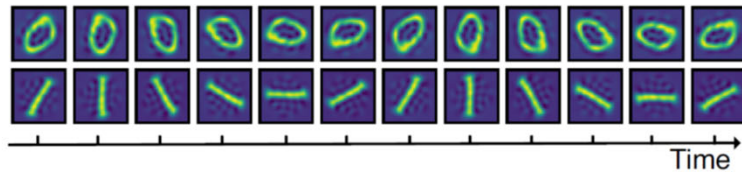


# Temporal Prediction Learns to Classify Actions

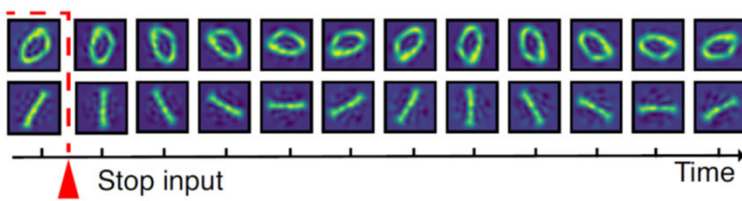


# Learning How to Rotate Images

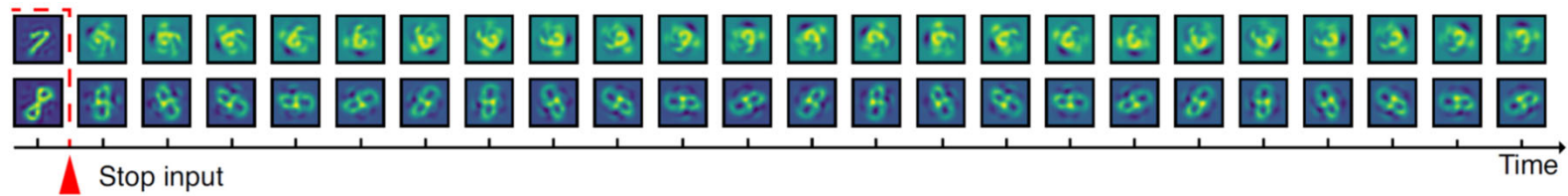
**A: Input**



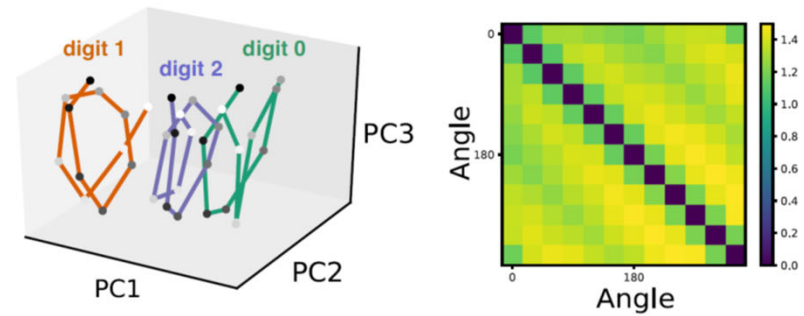
**B: Prediction**



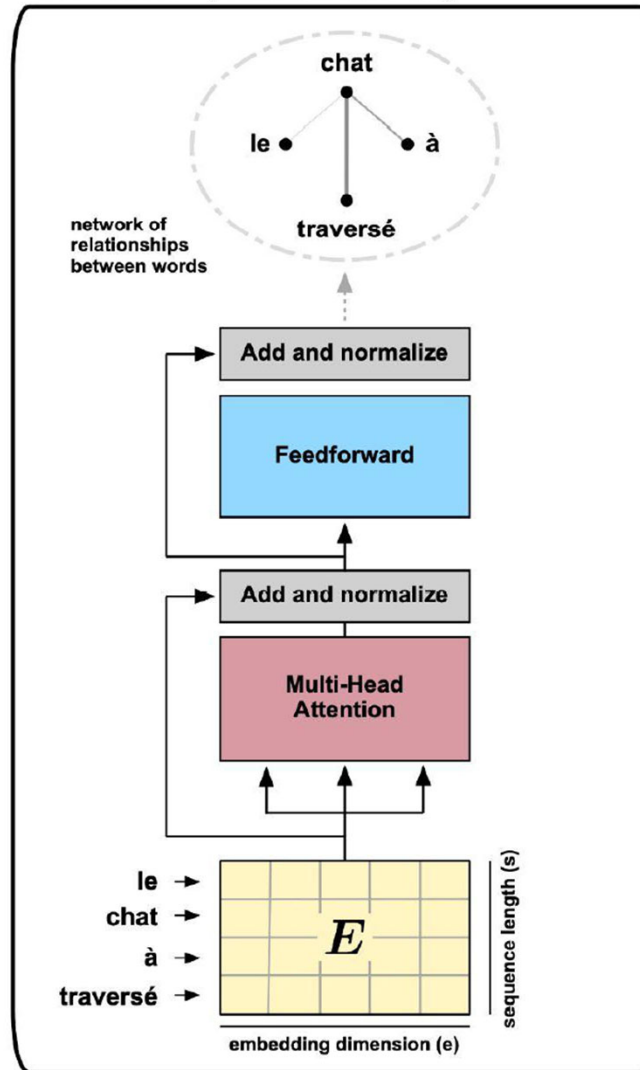
**C: Generalization**



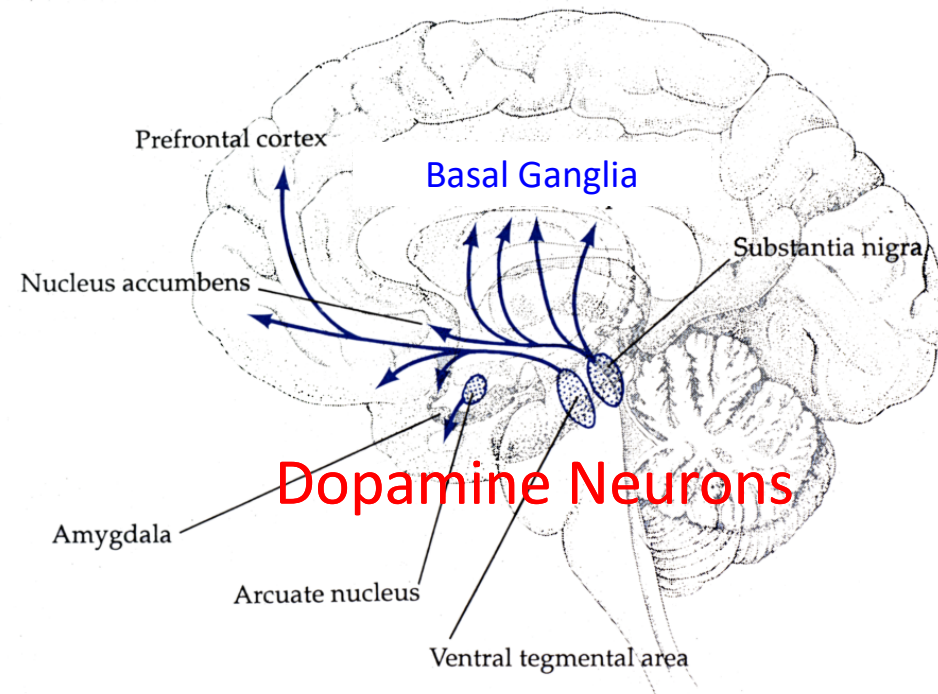
**D: Hidden unit activity**



### Self-attention (within one encoder)



## Learning How to Decide What to Do Next

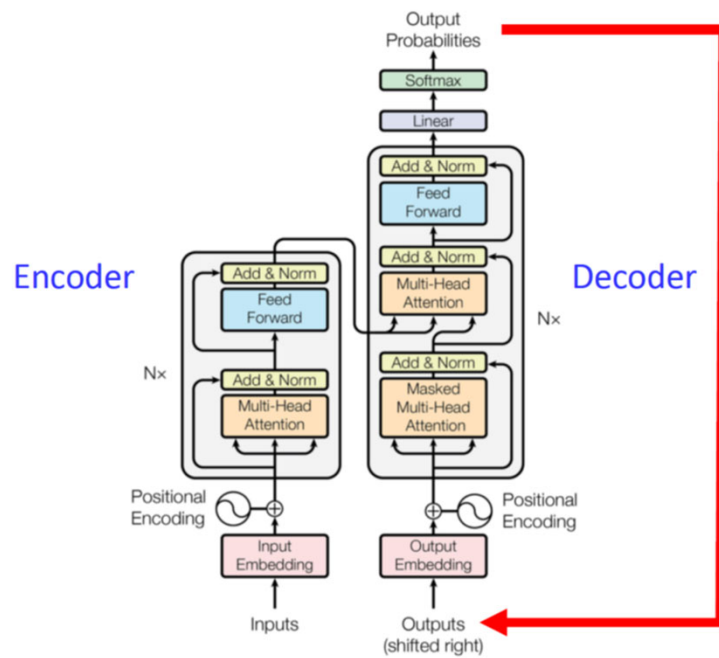


## Reward Prediction Error

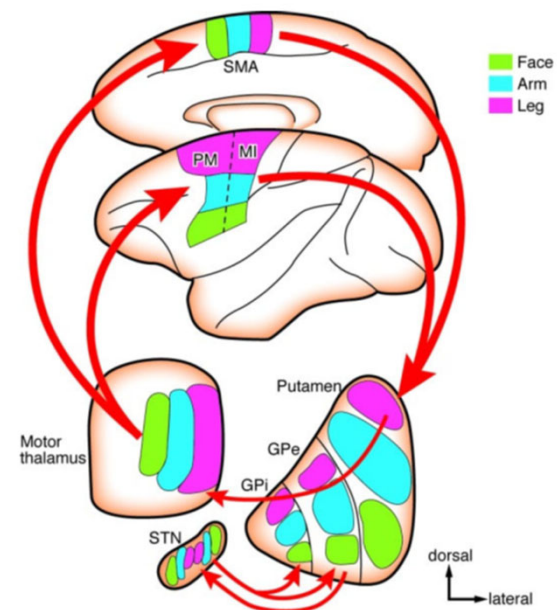
Montague, Dayan and Sejnowski, 1996

# Sequence Learning in Transformers and Brains

## The Transformer Loop

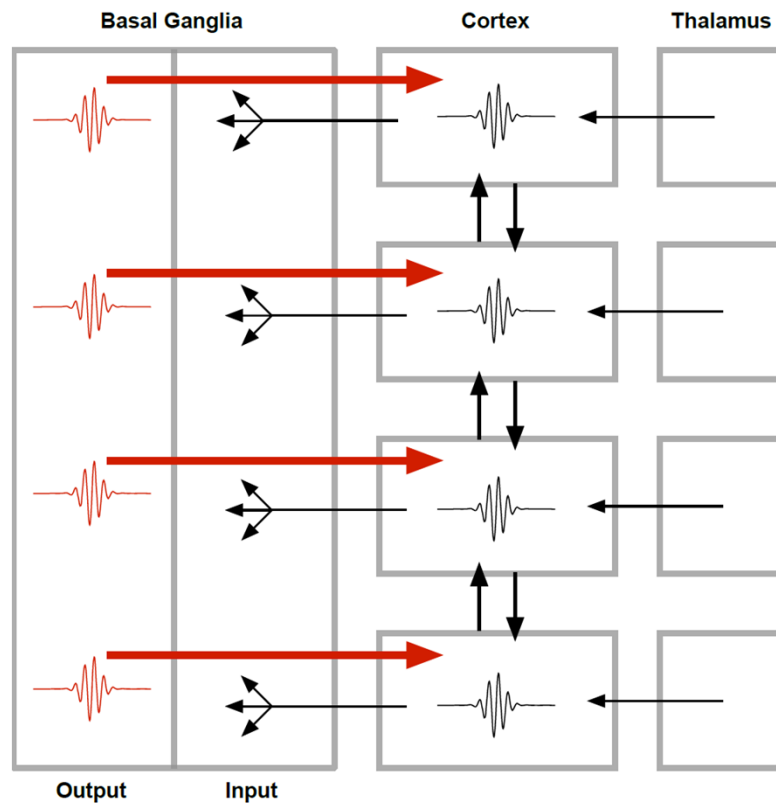


## The Cortical – Basal Ganglia Loop





# Do Basal Ganglia Compute Self-Attention?



Muller, Churchland, Sejnowski, arXiv





Lyle Muller



Andy Keller



Pat Churchland

We thank you



Max Welling